TERMS OF REFERENCE FOR ANALYSIS OF POLICY GAPS AND ROLLING OUT NATIONAL DIALOGUE ON AGROFORESTRY POLICY IN KENYA

1.0 ABOUT VI AGROFORESTRY
Vi Agroforestry is a Swedish NGO that has been operating in East Africa since 1983. The organization has its headquarters in Stockholm, Sweden and a Regional Office in Nairobi, Kenya and country offices in Kampala (Uganda), Mwanza (Tanzania), Kigali (Rwanda) and Kitale (Kenya).

Vi Agroforestry’s current strategy 2017-2021 aims to have smallholder farmer families in Sub-Saharan Africa having sustainably improved livelihoods through increased climate change resilience, food security, higher incomes, and greater equality between women and men by 2021. The strategy targets smallholder farmer families living in poverty, with a special focus on women, children and youth, who are members or potential members of democratic farmers’ organisations in areas vulnerable to climate change in Sub-Saharan Africa. The strategy is implemented through the programme ALIVE (2018-2022), within the framework of which all projects and programme interventions are clustered and contribute to the regional result matrix.

For many years, Vi Agroforestry was doing own implementation, where it worked directly with farmers and farmer groups at community level. This shifted from 2012 when Vi Agroforestry began implementing activities through local partner organisations.

2.0 BACKGROUND AND CONTEXT
Advocacy is a cluster in the ALIVE programme and a thematic area in Vi Agroforestry’s strategy. The strategy recognizes that sustainable development for the environment and for farmer families’ livelihoods requires a conducive policy environment. Vi Agroforestry and its partners must actively be involved in policy dialogue and advocate for change locally, nationally, regionally and internationally. This is vital in Vi Agroforestry’s work and is part of the theory of change. The areas of concern for lobbying and advocacy are sustainable agriculture, agroforestry, climate change and equal rights for women and men on rights to land and other productive resources.

The agriculture sector, the environment, farmers’ organisations and smallholder farmer families are disadvantaged by unfair policies. This requires their participation and representation in policy processes. The involvement of NGOs and civil society organisations in policy formulation is instrumental in including the rights of farmers and ensuring proper implementation of policies involving rights. Advocacy can bridge the gap between the duty bearers (such as government) and rights holders (such as the farmer families) and improve service delivery and thereby livelihoods for smallholder farmer families in Sub-Saharan Africa. Together, farmers’ organisations have greater influence and can pursue joint lobbying and advocacy work.
In view of this Vi Agroforestry has developed an advocacy strategy. The overarching advocacy goal is to have an agroforestry policy formulated, adopted and implemented in each of the four East African countries by 2030. The strategy shall work from local to global levels to realize this goal.

3.0 DESCRIPTION OF THE TASK
The consultant will be expected to document the existing policy gaps, highlight the need for an agroforestry policy and propose a process plan for rolling out the agroforestry policy dialogue in Kenya.

4.0 OBJECTIVES AND PURPOSE
These Terms of Reference provide a framework for engagement between Vi Agroforestry and the consultant. The purpose of this work is to identify existing policy gaps, highlight the need for an agroforestry policy and propose a process plan for rolling out the agroforestry policy dialogue in Kenya.

The specific objectives for the exercise include:
1. To analyze relevant sector policy documents in Kenya
2. To identify need for a national agroforestry policy in Kenya
3. To map relevant actors nationally – state, non-state, their roles, and geographical spread that can form part of the push for the national agroforestry policy
4. To develop a process plan for rolling out the agroforestry policy dialogue in Kenya

5.0 APPROACH AND METHODOLOGY
The consultant is expected to undertake a desk review of relevant sector policy documents in addition to using other techniques for an in-depth review. The approach should result into a detailed report that will help Vi Agroforestry and partner organisations to successfully achieve the advocacy goal.

6.0 CONSULTANT REQUIREMENTS AND QUALIFICATION
Vi Agroforestry seeks to engage a consultant or firm of consultants who must be a well-grounded social scientist/environmental specialist or any other related field with excellent skills and experience in policy advocacy. The consultant should:
1. Demonstrate previous experience in conducting similar assignments.
2. Demonstrate working experience of at least 6 years in policy analysis, policy research and advocacy
3. Have the capacity to work both independently and as a team
4. Be willing to undertake the assignment within stipulated time.
7.0 DELIVERABLES
   i. An inception report detailing delivery methodology, work plan/schedule and program
   ii. Submission of a draft report.
   iii. Facilitate a day’s validation workshop of findings with Vi Agroforestry and partner organisations
   iv. Submission of a final report in 5 printed copies and a softcopy, including references.

8.0 ROLE OF VI AGROFORESTRY
   • Provide background information relating to the task
   • Facilitate payments to the consultant as per the payment schedule
   • Identify and make invitations for the validation workshop participants

9.0 ROLE OF THE CONSULTANT
   • Prepare and submit an inception report
   • Undertake and finalize the assignment within 10 working days
   • Facilitate a day’s validation workshop
   • Share final report, with references

10.0 TIMEFRAME
   The assignment is to be conducted in 10 days, between 28th November and 11th December 2019.

11.0 HOW TO APPLY
   Interested applicants to submit technical and financial proposal, separately comprising the following components:
   ▪ A cover letter explaining their suitability for the assignment and their understanding of the task at hand.
   ▪ An expression of interest explaining the reasons for applying and the applicant’s understanding of the subject matter.
   ▪ A schedule of how the tasks will be done within the proposed time frame (10 days), the methodology and an elaborate program
   ▪ Proposed daily fees for the task including the schedule of payments.
   ▪ Organizational capacity statement, experience and activities related to the task
   ▪ Curriculum vitae for all the consultants that will be engaged on this assignment.
   ▪ Relevant work undertaken in the last 5 years.
   ▪ Full names, post office box, telephone numbers, e-mail address, physical address and contact person of the consultancy.

Technical and financial proposals to be addressed to applicationsKE@viagroforestry.org and to be received not later than 25th November 2019 by 2:00 pm. Use “Policy Analysis” as the subject.